



HAUCK & AUFHÄUSER  
Investment Banking

## Privacy policy

Responsible party pursuant to data protection laws, in particular the EU General Data Protection Regulation (GDPR), is Hauck & Aufhäuser Privatbankiers Aktiengesellschaft, Kaiserstraße 24, 60311 Frankfurt am Main.

### **Your rights as the data subject**

You can exercise the following rights at any time using the contact details of our data protection officer:

- Information on your data stored by us and the processing thereof (Art. 15 GDPR),
- Rectification of inaccurate personal data (Art. 16 GDPR),
- Deletion of your data stored by us (Art. 17 GDPR),
- Restriction of the data processing, provided that we may not delete your data due to legal obligations (Art. 18 GDPR),
- Objection to the processing of your data with us (Art. 21 GDPR) and
- Data portability, provide that you have consented to the data processing or have entered into a contract with us (Art. 20 GDPR).

If you have given us consent, you may withdraw it at any time, which will remain in effect in the future. You can contact a supervisory authority with a complaint at any time, e.g. the supervisory authority of the state of your residence or the authority that oversees us as the responsible party.

You'll find a list of supervisory authorities (for the non-public area) with their respective addresses at: [https://www.bfdi.bund.de/DE/Infothek/Anschriften\\_Links/anschriften\\_links-node.html](https://www.bfdi.bund.de/DE/Infothek/Anschriften_Links/anschriften_links-node.html).

### **Provision of fee-based services**

#### **Type and purpose of the process:**

For the provision fee-based services, we will request additional data such as payment details.

#### **Legal basis:**

The data processing required to finalise the contract is based in Art. 6 Para. 1 (b) GDPR.

#### **Recipients:**

Recipients of the data may be processors.

#### **Retention Period:**

We store these data in our systems until statutory retention period has ended. Basically, this is 6 or 10 years for generally accepted accounting principles and statutory tax requirement purposes.

#### **Mandatory or required provision:**

The provision of your personal data is voluntary. Without the provision of your personal data, we cannot give you access to our content and services.

### **Revision of our privacy policy**

We reserve the right to amend this privacy policy so that it always complies with current legal requirements or to implement changes to our services in the privacy policy, e.g. when introducing new services. Your next visit will be subject to the new privacy policy.

### **Questions for the data protection officer**

If you have any questions pertaining to data protection, please send us an e-mail or contact the person responsible for data protection in our organization:

[Datenschutz@hauck-aufhaeuser.com](mailto:Datenschutz@hauck-aufhaeuser.com)